

Internal Audit Programme 2015-16 initial planning Jan 2015									
ref	Key Financial	2015/16 potential days	2014/15 programme (provisional)	IA Risk assessment 2015/16	corp plan/ RR/ KPI	comment	last audited	2014/15 opinion	pre-2014/15 opinion
01 KF	Budgets	5	y	2	y		2013/14		substantial
02 KF	Cash & Bank	5	y	3	y		2014/15	substantial	adequate
03 KF	Council Tax	5	y	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
04 KF	Creditors	10	y	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
05 KF	Fraud (non-corporate)	10	y	3	y	new audit			
06 KF	Housing Benefits and LCTS	10	y	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
07 KF	Housing Rents	5	y	3	y	dependant on qtr 3 2014/15 KPIs	2014/15	substantial	substantial
08 KF	Income, Fees & Charges	5	y	2	y		2013/14		adequate
09 KF	Main Accounting System	5	y	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
10 KF	NNDR	5	y	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
11 KF	Payroll	5	y	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
12 KF	Recovery	5	y	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
13 KF	Taxation	5	y	2	y	dependant on 2014/15 opinion	2014/15	tbc	n/a
14 KF	Asset Management		p	1	y	AMP review	2013/14		substantial
15 KF	Treasury Management		p	1	y		2014/15	substantial	n/a
Other									
		2015/16 potential days		IA Risk assessment 2015/16	corp plan/ RR/ KPI	comment	last audited	2014/15 opinion	pre-2014/15 opinion
16 O	Business Continuity	10	y	3	y		2012/13		adequate
17 O	Communication	10	y	3		new			
18 O	Community Health & Fitness	10	y	2		from 2014/15 programme			
19 O	Contracts & Procurement	10	y	4	y	PPP2014	2013/14		substantial
20 O	Corporate Governance & AGS	10	y	3	y		2014/15	substantial	n/a
21 O	Elections	10	y	3	y	from 2014/15 programme	2008/09		adequate
22 O	Environmental Health - Port Health	10	y	3	y	new Port Health incl Green Beans			
23 O	Homelessness	10	y	3	y		2012/13		adequate
24 O	Housing Allocations	10	y	3	y	choice based lettings	2012/13		substantial
25 O	Housing Repairs Service	15	y	4	y	from 2014/15 programme	2013/14		adequate
26 O	Housing Right to Buy	5	y	2	y	PPP2014	2014/15	substantial	limited
27 O	Housing Stock & Voids	10	y	3	y	new builds; voids	2012/13		substantial
28 O	Housing Stores	10	y	4		stock control			
29 O	ICT	10	y	3		incident mgt & resilience	2013/14		substantial
30 O	Information Management & Security	15	y	3	y	from 2014/15 programme	2012/13		adequate
31 O	Licensing	10	y	3	y	service changes	2012/13		adequate
32 O	Members' Allowances & Expenses	10	y	3	y	from 2014/15 programme	2010/11		adequate
33 O	Museum	10	y	3		new storage facility	2012/13		adequate
34 O	Partnerships	15	y	3	y		2013/14		substantial
35 O	Street Services - Fleet & Fuel Management and T	15	y	4	y	new systems	2010/11		adequate
36 O	Street Services - Trade Waste	10	y	3	y		2009/10		adequate
37 O	Car Parking Partnership (NEPP)		p	3	y	dependant on 2014/15 opinion	2014/15	tbc	adequate
38 O	Electoral Registration		p	3	y	IER	2012/13		substantial
39 O	Enforcement		p	2	y		2012/13		adequate
40 O	Equality & Diversity		p	4	y	dependant on 2014/15 opinion	2014/15	tbc	limited
41 O	Facilities Management		p	2		Legionella management & control	2013/14		adequate
42 O	Housing Contract Systems		p	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
43 O	HR		p	3	y	employee sickness management	2014/15	adequate	substantial
44 O	Leisure - PFI		p	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
45 O	Risk Management		p	2	y		2012/13		substantial
46 O	Section 106 Obligations		p	2	y		2012/13		adequate
47 O	Services for Older People		p	2	y	dependant on 2014/15 opinion	2014/15	tbc	adequate
48 O	Street Services - Grounds Maintenance		p	3	y	dependant on 2014/15 opinion	2014/15	tbc	adequate
49 O	Street Services - Highway Ranger Services		p	3	y	dependant on 2014/15 opinion	2014/15	tbc	n/a
50 O	Street Services - Income Generating Services (Bulky Household)		p	2	y		2012/13		n/a
51 O	Street Services - Management & Admin (Asset acquisitions & di		p	2	y		2012/13		n/a
52 O	Street Services - Street Cleaning		p	3	y	dependant on 2014/15 opinion	2014/15	tbc	substantial
		305							